

**Commonwealth of Kentucky**  
**Alison Lundergan Grimes, Secretary of State**

PARP  
0301712  
Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
5/4/2017 2:42:00 PM  
Fee receipt: \$15.00

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

**Annual Report  
Online Filing**

**ARP**

**Company:** W & S FINANCIAL GROUP DISTRIBUTORS, INC.  
**Company ID:** 0301712  
**State of origin:** Ohio  
**Formation date:** 6/15/1992 12:00:00 AM  
**Date filed:** 5/4/2017 2:42:00 PM  
**Fee:** \$15.00

**Principal Office**

303 BROADWAY  
STE 1100  
CINCINNATI, OH 45202

**Registered Agent Name/Address**

C T CORPORATION SYSTEM  
306 W MAIN ST  
SUITE 512  
FRANKFORT, KY 40601

**Current Officers**

|                     |                      |                                             |
|---------------------|----------------------|---------------------------------------------|
| Assistant Treasurer | Timothy D Speed      | 400 Broadway, Cincinnati Oh 45202           |
| Assistant Treasurer | Kathleen A Cornelius | 400 Broadway, Cincinnati Oh 45202           |
| President           | MARK E CANER         | 303 BROADWAY,STE 1100,CINCINNATI,OH 45202   |
| Secretary           | RHONDA S MALONE      | 400 BROADWAY CINCINNATI OH                  |
| Treasurer           | James J Vance        | 400 BROADWAY,CINCINNATI,OH 45202            |
| Vice President      | Daniel R Larsen      | 400 Broadway, Cincinnati Oh 45202           |
| Vice President      | Catherine A Bezold   | 303 Broadway, Ste 1100 Cincinnati, OH 45202 |
| Vice President      | Angelia J Holthaus   | 303 Broadway, Ste 1100 Cincinnati, OH 45202 |

**Directors**

|          |                    |                                   |
|----------|--------------------|-----------------------------------|
| Director | Donald J Wuebbling | 400BROADWAY,,CINCINNATI,OH 45202  |
| Director | James N Clark      | 400BROADWAY,,CINCINNATI,OH 45202  |
| Director | Jill T McGruder    | 400 Broadway, Cincinnati Oh 45202 |

**Signatures**

|                  |                     |
|------------------|---------------------|
| <b>Signature</b> | Timothy D Speed     |
| <b>Title</b>     | Assistant Treasurer |