

**Commonwealth of Kentucky**  
**Michael G. Adams, Secretary of State**

LARP

0624312

Michael G. Adams  
KY Secretary of State

Received and Filed  
2/25/2020 10:46:44 AM

Fee receipt: \$15.00

Michael G. Adams  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

**Annual Report  
Online Filing**

**ARP**

**Company:** ROSS, SINCLAIRE & ASSOCIATES, LLC  
**Company ID:** 0624312  
**State of origin:** Ohio  
**Formation date:** 10/25/2005 12:00:00 AM  
**Date filed:** 2/25/2020 10:46:44 AM  
**Fee:** \$15.00

**Principal Office**

700 WALNUT STREET  
SUITE 600  
CINCINNATI, OH 45202

**Registered Agent Name/Address**

C T CORPORATION SYSTEM  
306 W MAIN ST  
SUITE 512  
FRANKFORT, KY 40601

**Members/Managers**

|         |                       |   |
|---------|-----------------------|---|
| Manager | MURRAY SINCLAIRE, JR. | 700 WALNUT STREET,SUITE 600,CINCINNATI,OH 45202 |
| Manager | Larry Nelson Taul     | 700 WALNUT STREET,SUITE 600,CINCINNATI,OH 45202 |
| Manager | Fred T Overbeck       | 700 WALNUT STREET,SUITE 600,CINCINNATI,OH 45202 |

|                |   |
|----------------|---|
| Business size: | Large   |
| Business type: | Security & Commodity Brokers, Dealers, Exchanges & Services |

**Signatures**

|                  |             |
|------------------|-------------|
| <b>Signature</b> | Karl Spisak |
| <b>Title</b>     | FINOP       |