

Commonwealth of Kentucky  
Alison Lundergan Grimes, Secretary of State

PARP  
0063013  
Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
5/7/2018 12:34:05 PM  
Fee receipt: \$15.00

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

Annual Report  
Online Filing

ARP

**Company:** AMERIPRISE FINANCIAL SERVICES, INC.  
**Company ID:** 0063013  
**State of origin:** Delaware  
**Formation date:** 1/4/1974 12:00:00 AM  
**Date filed:** 5/7/2018 12:34:05 PM  
**Fee:** \$15.00

**Principal Office**

707 2ND AVE SO  
MINNEAPOLIS, MN 55474

**Registered Agent Name/Address**

C T CORPORATION SYSTEM  
306 W MAIN ST  
SUITE 512  
FRANKFORT, KY 40601

**Current Officers**

President	Joseph Edward Sweeney	707 2nd Ave So County: Hennepin Minneapolis Minnesota 55474
Secretary	Thomas Richard Moore	707 2nd Ave So County: Hennepin Minneapolis Minnesota 55474
Treasurer	James A. Brefeld Jr.	707 2nd Ave So County: Hennepin Minneapolis Minnesota 55474

**Directors**

Director	Joseph Edward Sweeney	707 2nd Ave So County: Hennepin Minneapolis Minnesota 55474
Director	Charles N. Maglaque	707 2nd Ave So County: Hennepin Minneapolis Minnesota 55474
Director	Patrick H. O'Connell	707 2nd Ave So County: Hennepin Minneapolis Minnesota 55474
Director	William J. Williams	707 2nd Ave So County: Hennepin Minneapolis Minnesota 55474

**Signatures**

<b>Signature</b>	Bradley Slenker
<b>Title</b>	Power of Attorney