

Commonwealth of Kentucky  
Alison Lundergan Grimes, Secretary of State

PARP

0063013

Alison Lundergan Grimes

KY Secretary of State

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Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

Annual Report  
Online Filing

ARP

**Company:** AMERIPRISE FINANCIAL SERVICES, INC.  
**Company ID:** 0063013  
**State of origin:** Delaware  
**Formation date:** 1/4/1974 12:00:00 AM  
**Date filed:** 5/13/2019 1:27:33 PM  
**Fee:** \$15.00

**Principal Office**

707 2ND AVE SO  
MINNEAPOLIS, MN 55474

**Registered Agent Name/Address**

C T CORPORATION SYSTEM  
306 W MAIN ST  
SUITE 512  
FRANKFORT, KY 40601

**Current Officers**

President	Joseph Edward Sweeney	707 2nd Ave So Minneapolis Minnesota 55474
Secretary	Thomas Richard Moore	707 2nd Ave So Minneapolis Minnesota 55474
Treasurer	James A. Brefeld Jr.	707 2nd Ave So Minneapolis Minnesota 55474
Vice President	Joseph D. Fleming	707 2nd Ave So Minneapolis Minnesota 55474
Vice President	Jeffrey Scherman	707 2nd Ave So Minneapolis Minnesota 55474

**Directors**

Director	Charles N. Maglaque	707 2nd Ave So Minneapolis Minnesota 55474
Director	Patrick H. O'Connell	707 2nd Ave So Minneapolis Minnesota 55474
Director	William J. Williams	707 2nd Ave So Minneapolis Minnesota 55474

**Signatures**

<b>Signature</b>	Kelly Lettmann
<b>Title</b>	POA