

Commonwealth of Kentucky
Michael G. Adams, Secretary of State

LARP
0621213
Michael G. Adams
KY Secretary of State
Received and Filed
6/6/2024 2:24:17 AM
Fee receipt: \$15.00

Michael G. Adams
Secretary of State
P. O. Box 1150
Frankfort, KY 40602-1150
(502) 564-3490
<http://www.sos.ky.gov>

**Annual Report
Online Filing
For the Year 2024**

ARP

Company: SORRENTO PACIFIC FINANCIAL, LLC
Company ID: 0621213
State of origin: California
Formation date: 9/8/2005 12:00:00 AM
Date filed: 6/6/2024 2:23:53 AM
Fee: \$15.00

Principal Office

10150 MEANLEY DRIVE
1ST FLOOR
SAN DIEGO, CA 92131

Registered Agent Name/Address

CORPORATION SERVICE COMPANY
421 WEST MAIN STREET
FRANKFORT, KY 40601

Members/Managers

Manager	AWS, 3 Inc.	Suite 1620 40 West 57th Street, New York, NY 10019
Manager	Kevin Beard	1st Floor 10150 Meanley Drive San Diego, CA 92131
Manager	Douglas J. Ketterer	Suite 14017 295 Madison Ave., New York, NY 10017
Member	AWS 2, Inc	1st Floor 10150 Meanley Drive San Diego, CA 92131

County:	Fayette
Business size:	Small
Business type:	Security & Commodity Brokers, Dealers, Exchanges & Services

Signatures

Signature	Kevin S. Mummau
Title	President