

Organization ID # 1049313  
State of origin KY  
Filing fee \$175

Commonwealth of Kentucky  
Michael G. Adams, Secretary of State

1049313  
Michael G. Adams  
KY Secretary of State  
Received and Filed  
3/8/2024 11:09:08 AM  
Fee receipt: \$175.00

LRPF

Michael G. Adams  
Secretary of State  
P. O. Box 718  
Frankfort, KY 40602-0718  
(502) 564-3490  
<http://www.sos.ky.gov>

**Reinstatement Application and  
Reinstatement Annual Report  
For the years 2020 through 2024**

**RST**

**Exact limited liability company name and principal office address**

**SMITH & SMITH INVESTMENT ADVISORS & RETIREMENT  
PLANNING , LLC  
6010 BROWNSBORO PARK BLVD STE A  
LOUISVILLE KY 40207**

The principal office address and registered agent name/office address cannot be changed on this form. When reinstating, you cannot modify the addresses until the reinstatement is filed. Once the reinstatement is filed, the statement of change will be filed.

**Registered Agent and Registered Office Address**

Julia M Smith  
6010 Brownsboro Park Blvd Ste A  
Louisville, KY 40207

**Managers** - List the name And address of the limited liability company's managers. If not specified, addresses default to the LLC's principal office address

JULIA SMITH	100 CENTRAL CT, PEWEE VALLEY KY 40056
JULIA SMITH	100 CENTRAL CT, PEWEE VALLEY, KY 40056

County:	Jefferson
Business size:	Small
Business type:	Security & Commodity Brokers, Dealers, Exchanges & Services

The above entity was administratively dissolved on 10/8/2020 because the entity did not file its annual report for the year 2020. The undersigned states that the grounds For dissolution either did Not exist Or have been eliminated, And the entity's name satisfies the requirements of KRS 14A.3-010; and that the entity has taken no steps to wind up and liquidate its business and affairs.

Under penalty of perjury, the below signed hereby authorizes the Kentucky Department of Revenue to release any applicable tax information pertaining to Smith & Smith Investment Advisors & Retirement Planning , LLC to the Secretary of State, as required for reinstatement pursuant to KRS 14A.7-030.

Signature of Authorized Representative: **JULIA SMITH** Title: **MEMBER** 3/8/2024



**KENTUCKY DEPARTMENT OF REVENUE**  
**DIVISION OF CORPORATION TAX**  
501 HIGH STREET, STATION 52  
FRANKFORT, KENTUCKY 40601-2103

Website: [www.revenue.ky.gov](http://www.revenue.ky.gov)  
Phone: 502-564-8139  
Fax: 502-564-0058

**Smith & Smith Investment Advisors & Retirement  
Planning , LLC**  
**6010 Brownsboro Park Blvd Ste A**  
**Louisville KY, 40207**

Notice Date: March 8, 2024  
KY SoS Org. ID: 1049313

**RE:** *Letter of Good Standing Request - Approved*

**SUMMARY** You requested a letter of good standing, and your entity is in **good standing** with the Department of Revenue.

**OUR DETERMINATION** We verified the following information.

1. You are registered with the Department of Revenue.
2. An authorized person requested this letter.
3. You filed income and LLE tax returns as required, or you are exempt from filing.
4. You have no outstanding tax assessments with the Division of Collections or have a valid pay agreement in place.

This notice will remain current for 30 days from the notice date above.

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**WHAT YOU NEED TO DO**

1. **If you are attempting to reinstate your entity**, please provide a copy of this letter to the Kentucky Secretary of State within 30 days of the notice date above.
2. **If you are a for-profit corporation**, you will also need to provide the Secretary of State a letter of good standing from the Division of Unemployment Insurance. Their telephone number is 502-564-6835.
3. **If you are a non-profit entity**, please remember to file a copy of your tax returns with the Kentucky Attorney General. The charity filing requirements website is: <http://ag.ky.gov/family/consumerprotection/charity/Pages/registration.aspx>.

**CONTACT  
INFORMATION**

If you have any questions regarding this notice, please contact me. Thank you.

Agent: Dottye REV3769, Taxpayer Specialist II  
Email: [Dottye.Roberts@ky.gov](mailto:Dottye.Roberts@ky.gov)  
Direct: 502-564-0102