

0703514.09

mmoore  
AMD

Michael G. Adams  
 Kentucky Secretary of State  
 Received and Filed:  
 9/29/2023 2:26 PM  
 Fee Receipt: \$40.00



COMMONWEALTH OF KENTUCKY  
 MICHAEL G. ADAMS, SECRETARY OF STATE

Division of Business Filings  
 P.O. Box 718  
 Frankfort, KY 40602  
 (502) 564-3490  
 www.sos.ky.gov

Amended Certificate of Authority  
 (Foreign Business Entity)

FCA

Pursuant to the provisions of KRS Chapter KRS 14A.9 - 040 the undersigned hereby applies for an amended certificate of authority on behalf of the entity named below and, for that purpose, submits the following statements:

- The business entity is: ☒ profit corporation  
 professional service corporation  
 limited liability company  
 professional limited liability company  
 limited cooperative association  
 other  
 nonprofit corporation.  
 business trust  
 limited partnership  
 statutory trust  
 non-profit LLC
- The name of the company is: Lockwood Advisors, Inc.  
 (The name must be identical to the name on record with the Secretary of State.)
- It is an entity organized and existing under the laws of the state or country of Delaware.
- The entity received authority to transact business in Kentucky on 4/22/2008.
- The entity has changed its (check all that apply)  
 × Domicile name to BNY Mellon Advisors, Inc.  
 × Name to be used in Kentucky to BNY Mellon Advisors, Inc.  
 Jurisdiction of organization to \_\_\_\_\_  
 Period of duration \_\_\_\_\_  
 Form of organization \_\_\_\_\_  
 Management type:                      Member managed                      Manager managed
- This application will be effective upon filing.

I declare under penalty of perjury under the laws of the state of Kentucky that the foregoing is true and correct.

Cristina M. Rice                      Cristina M. Rice                      Secretary                      6/6/2023  
 Signature of Authorized Representative                      Printed Name                      Title                      Date