

**Commonwealth of Kentucky**  
**Alison Lundergan Grimes, Secretary of State**

LARP  
**0800114**  
Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
3/1/2017 3:16:30 PM  
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Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

**Annual Report  
Online Filing**

**ARP**

**Company:** FALCON FINANCIAL ADVISORS LLC  
**Company ID:** 0800114  
**State of origin:** Kentucky  
**Formation date:** 9/13/2011 12:00:00 AM  
**Date filed:** 3/1/2017 3:16:30 PM  
**Fee:** \$15.00

**Principal Office**

9900 CORPORATE CAMPUS DRIVE  
SUITE 3000  
CRESTWOOD, KY 40223

**Registered Agent Name/Address**

JOHN F. SWAIM II  
9900 CORPORATE CAMPUS DRIVE  
SUITE 3000  
LOUISVILLE, KY 40223

**Members/Managers**

Member	JEFFREY A SWAIM	9900 CORPORATE CAMPUS DR., STE 3000, LOUISVILLE, KY 40223
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**Signatures**

<b>Signature</b>	JOHN F. SWAIM II
<b>Title</b>	PRESIDENT