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Commonwealth of Kentucky Alison Lundergan Grimes, Secretary o

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Alison Lundergan Grimes Secretary of State P. O. Box 1150 Frankfort, KY 40602-1150 (502) 564-3490 http://www.sos.ky.gov

Annual Report Online Filing

ARP

Company: FALCON FINANCIAL ADVISORS LLC

Company ID: 0800114
State of origin: Kentucky

Formation date: 9/13/2011 12:00:00 AM Date filed: 4/16/2018 2:37:50 PM

Fee: \$15.00

Principal Office

9900 CORPORATE CAMPUS DRIVE SUITE 3000 CRESTWOOD, KY 40223

Registered Agent Name/Address

JOHN F. SWAIM II 9900 CORPORATE CAMPUS DRIVE SUITE 3000 LOUISVILLE, KY 40223

Members/Managers

Member JEFFREY A SWAIM 9900 CORPORATE CAMPUS DR., STE 3000, LOUISVILLE, KY 40223

County: Jefferson Business size: Small

Business type: Security & Commodity Brokers, Dealers, Exchanges & Services

Signatures

Signature JOHN F SWAIM II Title PRESIDENT