

Commonwealth of Kentucky
Alison Lundergan Grimes, Secretary of State

PARP
0439115
Alison Lundergan Grimes
KY Secretary of State
Received and Filed
5/4/2018 6:19:18 PM
Fee receipt: \$15.00

Alison Lundergan Grimes
Secretary of State
P. O. Box 1150
Frankfort, KY 40602-1150
(502) 564-3490
<http://www.sos.ky.gov>

Annual Report
Online Filing

ARP

Company: SHERMAN, CLAY & CO.
Company ID: 0439115
State of origin: Indiana
Formation date: 9/24/1997 12:00:00 AM
Date filed: 5/4/2018 6:19:18 PM
Fee: \$15.00

Principal Office

1111 BAYHILL DRIVE
SUITE 450
SAN BRUNO, CA 94066-3035

Registered Agent Name/Address

COGENCY GLOBAL INC.
828 LANE ALLEN ROAD
SUITE 219
LEXINGTON, KY 40504

Current Officers

President	Fred W Concklin	1111 Bayhill Dr.# 450, San Bruno, CA 94066
Secretary	Eric A Schwartz	1111 Bayhill Dr.# 450, San Bruno, CA 94066
Vice President	Michael L Schwartz	1111 Bayhill Dr.# 450, San Bruno, CA 94066
Vice President	David Parsons	1111 Bayhill Dr.# 450, San Bruno, CA 94066
Vice President	Cecilia Bertoldi	1111 Bayhill Dr.# 450, San Bruno, CA 94066

Directors

Director	Fred W Concklin	1111 Bayhill Dr.# 450, San Bruno, CA 94066
Director	Michael L Schwartz	1111 Bayhill Dr.# 450, San Bruno, CA 94066
Director	Eric A Schwartz	1111 Bayhill Dr.# 450, San Bruno, CA 94066
Director	Frank Tarantino	1111 Bayhill Dr.# 450, San Bruno, CA 94066

Business size: Small
Business type: Nondepository Credit Institutions

Signatures

Signature CECILIA BERTOLDI
Title SVP/CFO