# Commonwealth of Kentucky Trey Grayson, Secretary of State

0666315 Trey Grayson Secretary of State Received and Filed

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Trey Grayson Secretary of State P. O. Box 1150 Frankfort, KY 40602-1150 (502) 564-3490 http://www.sos.ky.gov

# Annual Report Web Filing

**ARP** 

Company: NEW ENGLAND SECURITIES CORPORATION

Company ID: 0666315
State of origin: Massachusetts

Formation date: 6/8/2007 12:00:00 AM date filed: 6/2/2009 12:18:34 PM

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### **Principal Office**

501 BOYLSTON STREET BOSTON, MA 02116

## Registered Agent Name/Address

C T CORPORATION SYSTEM 4169 WESTPORT ROAD LOUISVILLE, KY 40207

### **Current Officers**

Assistant Treasurer Steven J. Brash 1095 Avenue of the Americas, New York, NY 10036-6796

Assistant Treasurer James W. Koeger 13045 Tesson Ferry Road, St. Louis, MO 63128 Chairman John J. Brett 485-E US Highway 1 South, Iselin, NJ 08830 President Craig W. Markham 13045 Tesson Ferry Road, St. Louis, MO 63128

Secretary Gwenn L. Carr 1095 Avenue of the Americas, New York, NY 10036-6796 Treasurer Eric T. Steigerwalt 1095 Avenue of the Americas, New York, NY 10036-6796

Vice President John G. Martinez 485-E US Highway 1 South, Iselin, NJ 08830

#### **Directors**

Director John J. Brett 485-E US Highway 1 South, Iselin, NJ 08830 Director Craig W. Markham 13045 Tesson Ferry Road, St. Louis, MO 63128

Director William J. Toppeta 1095 Avenue of the Americas, New York, NY 10036-6796

### **Signatures**

Signature Steven J. Brash
Title Assistant Treasurer