

**Commonwealth of Kentucky**  
**Alison Lundergan Grimes, Secretary of State**

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Alison Lundergan Grimes  
KY Secretary of State  
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PPOC

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Secretary of State  
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**Statement of Change of  
Principal Office Address**

**POC**

Pursuant to the provisions of KRS chapters 271B, 273, 275, or 362, the undersigned hereby applies to change the principal office on behalf of

**NEW ENGLAND SECURITIES CORPORATION**

which is organized in the state of Massachusetts, and for that purpose submits the following statements:

**1. Address of current principal office**

501 BOYLSTON STREET  
BOSTON, MA 02116

**2. Principal office is hereby changed to:**

13045 Tesson Ferry Rd., B1-06  
St. Louis, MO 63128

**3. Signature of officer or chairman of the board**

James W. Koeger, Assistant Treasurer

Signature and Title

Type or print name and title

5/5/2014 11:34 AM

Date