

APPROVED

SEP 28 2023

KY DEPT. OF INSURANCE  
FINANCIAL STANDARDSCOMMONWEALTH OF KENTUCKY  
MICHAEL G. ADAMS, SECRETARY OF STATE

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ADDMichael G. Adams  
Kentucky Secretary of State  
Received and Filed:  
9/28/2023 2:36 PM  
Fee Receipt: \$90.00Division of Business Filings  
P.O. Box 718  
Frankfort, KY 40602  
(502) 664-3490  
www.sos.ky.govCertificate of Authority  
(Foreign Business Entity)

FBE

Pursuant to the provisions of KRS 14A - 030 the undersigned hereby applies for authority to transact business in Kentucky on behalf of the entity named below and, for that purpose, submits the following statements:

1. The entity is a: ☒ profit corporation      nonprofit corporation      professional limited liability company  
business trust      limited liability company      statutory trust  
limited partnership      ltd cooperative association      public benefit corporation  
non-profit llc      professional service corporation      other
2. The name of the entity is RGA Life and Annuity Insurance Company  
(The name must be identical to the name on record with the Secretary of State.)
3. The name of the entity to be used in Kentucky is (if applicable): \_\_\_\_\_  
(Only provide if "real name" is unavailable for use; otherwise, leave blank.)
4. The state or country under whose law the entity is organized is Missouri
5. The date of organization is 10/30/1998 and the period of duration is \_\_\_\_\_  
(If left blank, duration is considered perpetual.)
6. The mailing address of the entity's principal office is  
16600 Swingley Ridge Road      Chesterfield      MO      63017  
Street Address      City      State      Zip Code
7. The street address of the entity's registered office in Kentucky is  
306 W. Main Street, Suite 512      Frankfort      KY      40601  
Street Address (No P.O. Box Numbers)      City      State      Zip Code
- and the name of the registered agent at that office is C T Corporation System
8. The names and business addresses of the entity's representatives (secretary, officers and directors, managers, trustees or general partners):
- | Name               | Street or P.O. Box        | City         | State    | Zip Code |
|--------------------|---------------------------|--------------|----------|----------|
| Ronald P. Herrmann | 16600 Swingley Ridge Road | Chesterfield | Missouri | 63017    |
| John W. Hayden     | 16600 Swingley Ridge Road | Chesterfield | Missouri | 63017    |
| William L. Hutton  | 16600 Swingley Ridge Road | Chesterfield | Missouri | 63017    |

9. If a professional service corporation, all the individual shareholders, not less than one half (1/2) of the directors, and all of the officers other than the secretary and treasurer are licensed in one or more states or territories of the United States or District of Columbia to render a professional service described in the statement of purposes of the corporation.

10. I certify that, as of the date of filing this application, the above-named entity validly exists under the laws of the jurisdiction of its formation.

11. If a limited partnership, it elects to be a limited liability limited partnership. Check the box if applicable:

12. If a limited liability company, check box if manager-managed.

13. This application will be effective upon filing.

William L. Hutton      William L. Hutton      EVP, Gen. Counsel & Secretary  
Signature of Authorized Representative      Printed Name & Title      Date

I, C T Corporation System, consent to serve as the registered agent on behalf of the business entity.

By: Eric Carlson      Eric Carlson      Assistant Secretary      9/20/2023  
Signature of Registered Agent      Printed Name      Title      Date

# **RGALIFE AND ANNUITY INSURANCE COMPANY**

## **DIRECTORS**

<b>Name</b>	<b>Title</b>	<b>Address Line 1</b>
Abbott, Dean S	Director	16600 Swingley Ridge Road, Chesterfield, MO 63017
Barbi, Leslie	Director	16600 Swingley Ridge Road, Chesterfield, MO 63017
Carson, Lawrence S.	Director	16600 Swingley Ridge Road, Chesterfield, MO 63017
Cockrill, Laura	Director	16600 Swingley Ridge Road, Chesterfield, MO 63017
Hayden, John W.	Director	16600 Swingley Ridge Road
Herrmann, Ronald	Director & Chairman	16600 Swingley Ridge Road, Chesterfield, MO 63017
Kellett, James M.	Director	16600 Swingley Ridge Road
Porter, Jonathan	Director	16600 Swingley Ridge Road, Chesterfield, MO 63017
Renelzky, Lisa H.	Director	16600 Swingley Ridge Road, Chesterfield, MO 63017

## **OFFICERS**

<b>Name</b>	<b>Title</b>	<b>Title Role</b>
Ash, James P.	Vice President & Actuary, Structured Finance	16600 Swingley Ridge Road, Chesterfield, MO 63017
Barbi, Leslie	Executive Vice President & Chief Investment Officer	16600 Swingley Ridge Road, Chesterfield, MO 63017
Boyer, Jeffrey A.	Vice President & Assistant Treasurer	16600 Swingley Ridge Road, Chesterfield, MO 63017
Gooderl, Robert	Vice President, Administration Oversight	16600 Swingley Ridge Road, Chesterfield, MO 63017
Hayden, John W.	Executive Vice President, Controller	16600 Swingley Ridge Road, Chesterfield, MO 63017
Haynes, Brian William	Senior Vice President and Corporate Treasurer	16600 Swingley Ridge Road, Chesterfield, MO 63017
Herrmann, Ronald	President & Chief Executive Officer	16600 Swingley Ridge Road, Chesterfield, MO 63017
Hetzler, Dustin J.	Senior Vice President, Global Financial Solutions Chief Actuary	16600 Swingley Ridge Road, Chesterfield, MO 63017
Hutton, William L.	Executive Vice President, General Counsel & Secretary	16600 Swingley Ridge Road, Chesterfield, MO 63017
Kellett, James M.	Executive Vice President, Valuation & Financial Analysis, Global Actuarial Services	16600 Swingley Ridge Road, Chesterfield, MO 63017
Linde, Bridget	Senior Vice President, Global Tax	16600 Swingley Ridge Road, Chesterfield, MO 63017
Lipovics, David	Vice President, US Pension Risk Solutions	16600 Swingley Ridge Road, Chesterfield, MO 63017
Porter, Jonathan	Executive Vice President & Global CRO	16600 Swingley Ridge Road, Chesterfield, MO 63017
Pummer, Steven J.	Senior Vice President, Global Valuation & Appointed Actuary	16600 Swingley Ridge Road, Chesterfield, MO 63017
Quallen, Christopher D	Vice President, Head of US and International Portfolios	16600 Swingley Ridge Road, Chesterfield, MO 63017
Souza, Charles	Senior Vice President, Structured Finance	16600 Swingley Ridge Road, Chesterfield, MO 63017
Wiele, Dana C.	Senior Vice President & Assistant Secretary	16600 Swingley Ridge Road, Chesterfield, MO 63017