

**Commonwealth of Kentucky**  
**Alison Lundergan Grimes, Secretary of State**

LARP  
0726717  
Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
5/15/2013 3:11:24 PM  
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Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

**Annual Report  
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**ARP**

**Company:** MORGAN STANLEY SMITH BARNEY LLC  
**Company ID:** 0726717  
**State of origin:** Delaware  
**Formation date:** 3/30/2009 12:00:00 AM  
**Date filed:** 5/15/2013 3:11:24 PM  
**Fee:** \$15.00

**Principal Office**

1585 BROADWAY  
NEW YORK, NY 10036

**Registered Agent Name/Address**

C T CORPORATION SYSTEM  
306 W MAIN ST  
SUITE 512  
FRANKFORT, KY 40601

**Members/Managers**

Manager	James P. Gorman	1585 Broadway New York NY 10036
Manager	James Forese	1585 Broadway New York NY 10036

**Signatures**

<b>Signature</b>	Laura Louis
<b>Title</b>	POA