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## Commonwealth of Kentucky Alison Lundergan Grimes, Secretary o

0972317 Alison Lundergan Grimes KY Secretary of State Received and Filed 6/17/2019 11:23:24 AM

Fee receipt: \$15.00

Alison Lundergan Grimes Secretary of State P. O. Box 1150 Frankfort, KY 40602-1150 (502) 564-3490 http://www.sos.ky.gov

## Annual Report Online Filing

**ARP** 

Company: CETERA ADVISORY SERVICES LLC

Company ID: 0972317 State of origin: Delaware

Formation date: 1/5/2017 12:00:00 AM Date filed: 6/17/2019 11:23:24 AM

**Fee:** \$15.00

**Principal Office** 

200 N. PACIFIC COAST HWY STE 1200

EL SEGUNDO, CA 90245

**Registered Agent Name/Address** 

CORPORATION SERVICE COMPANY 421 WEST MAIN STREET FRANKFORT, KY 40601

Members/Managers

Manager BRET HARRISON 4600 S SYRACUSE ST DENVER, CO 80237

Manager JOSEPH NEARY 200 N PACIFIC COAST HWY STE 1200 EL SEGUNDO CA 90245

Business size: Small

Business type: Security & Commodity Brokers, Dealers, Exchanges & Services

**Signatures** 

Signature MARK SHELSON TITLE TREASURER