LARP

Commonwealth of Kentucky Michael G. Adams, Secretary of St.

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Michael G. Adams Secretary of State P. O. Box 1150 Frankfort, KY 40602-1150 (502) 564-3490 http://www.sos.ky.gov

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ARP

Company: CETERA ADVISORY SERVICES LLC

Company ID: 0972317 State of origin: Delaware

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Principal Office

2301 ROSECRANS AVE

STE 5100

EL SEGUNDO, CA 90245

Registered Agent Name/Address

CORPORATION SERVICE COMPANY 421 WEST MAIN STREET FRANKFORT, KY 40601

Members/Managers

Member JOSEPH D. NEARY 655 W. BROADWAY, 12TH FLOOR, SAN DIEGO, CA 92101
Member THOMAS B. TAYLOR 200 N. PACIFIC COAST HWY, STE 1300, EL SEGUNDO, CA

90245

Member THOMAS A. GOOLEY 2301 ROSECRANS AVE, STE 5100, EL SEGUNDO, CA 90245

Signatures

Signature GARY L. CHAFFIN TAX OFFICER