

Commonwealth of Kentucky  
Michael G. Adams, Secretary of State

LARP

0972317

Michael G. Adams  
KY Secretary of State

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Michael G. Adams  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

Annual Report  
Online Filing  
For the Year 2024

ARP

Company: CETERA ADVISORY SERVICES LLC  
Company ID: 0972317  
State of origin: Delaware  
Formation date: 1/5/2017 12:00:00 AM  
Date filed: 6/28/2024 12:15:42 PM  
Fee: \$15.00

Principal Office

2301 ROSECRANS AVE  
STE 5100  
EL SEGUNDO, CA 90245

Registered Agent Name/Address

CORPORATION SERVICE COMPANY  
421 WEST MAIN STREET  
FRANKFORT, KY 40601

Members/Managers

Member	JOSEPH D. NEARY	655 W. BROADWAY, 12TH FLOOR, SAN DIEGO, CA 92101
Member	THOMAS B. TAYLOR	200 N. PACIFIC COAST HWY, STE 1300, EL SEGUNDO, CA 90245
Member	THOMAS A. GOOLEY	2301 ROSECRANS AVE, STE 5100, EL SEGUNDO, CA 90245

County:	Franklin
Business size:	Small
Business type:	Holding and other Investment Offices

Signatures

Signature	Gary L. Chaffin
Title	Authorized Person