

**Commonwealth of Kentucky**  
**Alison Lundergan Grimes, Secretary of State**

PARP  
**0793620**  
Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
2/1/2013 6:26:28 PM  
Fee receipt: \$15.00

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

**Annual Report  
Online Filing**

**ARP**

**Company:** FIRST ALLIED SECURITIES, INC.  
**Company ID:** 0793620  
**State of origin:** New York  
**Formation date:** 6/14/2011 12:00:00 AM  
**Date filed:** 2/1/2013 6:26:28 PM  
**Fee:** \$15.00

**Principal Office**

655 W. BROADWAY  
12TH FLOOR  
SAN DIEGO, CA 92101

**Registered Agent Name/Address**

CSC-LAWYERS INCORPORATING SERVICE COMPANY  
421 W. MAIN STREET  
FRANKFORT, KY 40601

**Current Officers**

President	Adam Antoniadis	655 W. Broadway, 12th Floor, San Diego CA 92101
Secretary	Robert Moses	40 Worth Street, 10th Floor, New York, NY 10013
Treasurer	Janice Doza	15455 Conway Rd., Chesterfield MO 63017

**Directors**

Director	Adam Antoniadis	655 W. Broadway, 12th Floor, San Diego CA 92101
Director	Gregg Glaser	655 W. Broadway, 12th Floor, San Diego CA 92101
Director	Rob Moses	40 Worth Street, 10th Floor, New York, NY 10013
Director	Joel Marks	199 St. Nicholas Circle, Atlanta, GA 30327

**Signatures**

<b>Signature</b>	Marion Vomhof
<b>Title</b>	Associate General Counsel