

Commonwealth of Kentucky
Alison Lundergan Grimes, Secretary of State

PARP
0793620
Alison Lundergan Grimes
KY Secretary of State
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Alison Lundergan Grimes
Secretary of State
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**Annual Report
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ARP

Company: FIRST ALLIED SECURITIES, INC.
Company ID: 0793620
State of origin: New York
Formation date: 6/14/2011 12:00:00 AM
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Principal Office

655 W. BROADWAY
12TH FLOOR
SAN DIEGO, CA 92101

Registered Agent Name/Address

CSC-LAWYERS INCORPORATING SERVICE COMPANY
421 W. MAIN STREET
FRANKFORT, KY 40601

Current Officers

President	Kevin Keefe	655 W. Broadway, 12th Floor, San Diego CA 92101
Secretary	Robert Moses	40 Worth Street, 10th Floor, New York, NY 10013
Treasurer	Janice Doza	15455 Conway Rd., Chesterfield MO 63017

Directors

Director	Kevin Keefe	655 W. Broadway, 12th Floor, San Diego CA 92101
Director	Gregg Glaser	655 W. Broadway, 12th Floor, San Diego CA 92101
Director	Rob Moses	40 Worth Street, 10th Floor, New York, NY 10013
Director	Joel Marks	199 St. Nicholas Circle, Atlanta, GA 30327
Director	Adam Antoniades	655 W. Broadway, 12th Floor, San Diego CA 92101

Signatures

Signature	Robert Moses
Title	Secretary