

Commonwealth of Kentucky  
Alison Lundergan Grimes, Secretary of State

PARP  
0793620  
Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
7/13/2016 12:24:01 PM  
Fee receipt: \$15.00

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

Annual Report  
Online Filing

ARP

**Company:** FIRST ALLIED SECURITIES, INC.  
**Company ID:** 0793620  
**State of origin:** New York  
**Formation date:** 6/14/2011 12:00:00 AM  
**Date filed:** 7/13/2016 12:24:01 PM  
**Fee:** \$15.00

**Principal Office**

655 W. BROADWAY  
12TH FLOOR  
SAN DIEGO, CA 92101

**Registered Agent Name/Address**

CT CORPORATION SYSTEM  
306 W. MAIN STREET  
SUITE 512  
FRANKFORT, KY 40601

**Current Officers**

President	Kevin Keefe	655 W. Broadway, 12th Floor, San Diego CA 92101
Secretary	DONNA BARTLETT	655 W. Broadway, 12th Floor, San Diego CA 92101
Treasurer	Mark P. Shelson	400 1ST ST SOUTH STE 300 ST CLOUD MN 56301

**Directors**

Director	Adam Antoniades	655 W. Broadway, 12th Floor, San Diego CA 92101
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**Signatures**

Signature	MARK SHELSON
Title	TREASURER