

Commonwealth of Kentucky
Alison Lundergan Grimes, Secretary of State

PARP
0793620
Alison Lundergan Grimes
KY Secretary of State
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Alison Lundergan Grimes
Secretary of State
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Annual Report
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ARP

Company: FIRST ALLIED SECURITIES, INC.
Company ID: 0793620
State of origin: New York
Formation date: 6/14/2011 12:00:00 AM
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Principal Office

655 W. BROADWAY
12TH FLOOR
SAN DIEGO, CA 92101

Registered Agent Name/Address

CT CORPORATION SYSTEM
306 W. MAIN STREET
SUITE 512
FRANKFORT, KY 40601

Current Officers

President	Kevin Keefe	655 W. Broadway, 12th Floor, San Diego CA 92101
Assistant Secretary	GREG OLSON	200 N SEPULVEDA BLVD STE 1200 EL SEGUNDO CA 90245
Secretary	DONNA BARTLETT	655 W. Broadway, 12th Floor, San Diego CA 92101
Treasurer	Mark P. Shelson	400 1ST ST SOUTH STE 300 ST CLOUD MN 56301
Vice President	GARRETT MERRILL	655 W. Broadway, 12th Floor, San Diego CA 92101

Directors

Director	Adam Antoniadis	655 W. Broadway, 12th Floor, San Diego CA 92101
Director	BRETT HARRISON	4600 SOUTH SYRACUSE ST STE 600 DENVER CO 80237

Business size:	Large
Business type:	Security & Commodity Brokers, Dealers, Exchanges & Services

Signatures

Signature	GREG OLSON
Title	ASSISTANT SECRETARY