Commonwealth of Kentucky Alison Lundergan Grimes, Secretary o

Alison Lunderga Secretary of P. O. Box 7 Frankfort, KY 40 (502) 564-3 http://www.sos	State 150 602-1150 3490	Annual Report Online Filing	ARP
Company:FIRST ALLIED SECURITIES, INC.Company ID:0793620State of origin:New YorkFormation date:6/14/2011 12:00:00 AMDate filed:6/20/2018 3:11:07 PMFee:\$15.00			
Principal Office			
655 W. BROADWAY 12TH FLOOR SAN DIEGO, CA 92101			
Registered Agent Name/Address			
CT CORPORATION SYSTEM 306 W. MAIN STREET SUITE 512 FRANKFORT, KY 40601			
Current Officers			
Assistant Secretary Secretary Treasurer Vice President	GREG OLSON DONNA BARTLETT Mark P. Shelson GARRETT MERRILL	200 N SEPULVEDA BLVD STE 120 655 W. Broadway, 12th Floor, San I 400 1ST ST SOUTH STE 300 ST C 655 W. Broadway, 12th Floor, San I	Diego CA 92101 CLOUD MN 56301
Directors			
Director Director	Adam Antoniades BRETT HARRISON	655 W. Broadway, 12th Floor, San I 4600 SOUTH SYRACUSE ST STE	
Business size: Business type:	Large Security & (Commodity Brokers, Dealers, Exchang	es & Services
Signatures			
Signature GREG OLSON Title ASSISTANT SECRETARY			

PARP