Commonwealth of Kentucky Michael G. Adams, Secretary of Sta

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Michael G. Adams Secretary of State P. O. Box 1150 Frankfort, KY 40602-1150 (502) 564-3490 http://www.sos.ky.gov

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Company: FIRST ALLIED SECURITIES, INC.

Company ID: 0793620 State of origin: New York

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Principal Office

655 W. BROADWAY 12TH FLOOR SAN DIEGO, CA 92101

Registered Agent Name/Address

CORPORATION SERVICE COMPANY 421 WEST MAIN STREET FRANKFORT, KY 40601

Current Officers

Assistant Secretary GREG OLSON 200 N PACIFIC COAST HWY STE 1200 EL SEGUNDO CA 90245

Treasurer Mark P. Shelson 400 1ST ST SOUTH STE 300 ST CLOUD MN 56301 Vice President GARRETT MERRILL 655 W. Broadway, 12th Floor, San Diego CA 92101

Directors

Director JOSEPH NEARY 200 N PACIFIC COAST HWY STE 1200 EL SEGUNDO CA 90245
Director BRETT HARRISON 4600 SOUTH SYRACUSE ST STE 600 DENVER CO 80237

Signatures

Signature MARK SHELSON TITLE TREASURER