

Commonwealth of Kentucky  
Alison Lundergan Grimes, Secretary of State

PARP  
0177222  
Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
6/24/2014 10:37:43 AM  
Fee receipt: \$15.00

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

Annual Report  
Online Filing

ARP

**Company:** STIFEL, NICOLAUS & COMPANY, INCORPORATED  
**Company ID:** 0177222  
**State of origin:** Missouri  
**Formation date:** 6/19/1967 12:00:00 AM  
**Date filed:** 6/24/2014 10:37:43 AM  
**Fee:** \$15.00

**Principal Office**

501 N. BDWY  
ST. LOUIS, MO 63102

**Registered Agent Name/Address**

C T CORPORATION SYSTEM  
306 W MAIN ST  
SUITE 512  
FRANKFORT, KY 40601

**Current Officers**

CEO	RONALD J. KRUSZEWSKI	501 N. BROADWAY, ST. LOUIS, MO 63102
Secretary	DAVID M. MINNICK	501 N. BROADWAY, ST. LOUIS, MO 63102
Vice President	JAMES M. ZEMLYAK	501 N. BROADWAY, ST. LOUIS, MO 63102
Vice President	VICTOR J. NESI	501 N. BROADWAY, ST. LOUIS, MO 63102
Vice President	BEN A. PLOTKIN	501 N. BROADWAY, ST. LOUIS, MO 63102
Vice President	WILLIAM HEINZERLING	501 N. BROADWAY, ST. LOUIS, MO 63102
Vice President	ALLEN BRAUTIGAM	501 N. BROADWAY, ST. LOUIS, MO 63102
Vice President	CHAD ESTEP	501 N. BROADWAY, ST. LOUIS, MO 63102
Vice President	THOMAS K GOEDEKE	501 N. BROADWAY, ST. LOUIS, MO 63102

**Directors**

Director	RONALD J. KRUSZEWSKI	501 N. BROADWAY, ST. LOUIS, MO 63102
Director	JAMES M. ZEMLYAK	501 N. BROADWAY, ST. LOUIS, MO 63102
Director	J. JOSEPH SCHLAFELY, III	501 N. BROADWAY, ST. LOUIS, MO 63102
Director	MICHAEL F. IMHOFF	501 N. BROADWAY, ST. LOUIS, MO 63102
Director	THOMAS R. KENDRICK	501 N. BROADWAY, ST. LOUIS, MO 63102
Director	DAVID D. SLINEY	501 N. BROADWAY, ST. LOUIS, MO 63102
Director	RICHARD J. HIMELFARB	501 N. BROADWAY, ST. LOUIS, MO 63102
Director	THOMAS P. MULROY	501 N. BROADWAY, ST. LOUIS, MO 63102
Director	HUGO J. WARNS, III	501 N. BROADWAY, ST. LOUIS, MO 63102

**Signatures**

<b>Signature</b>	THOMAS K GOEDEKE
<b>Title</b>	VP - TAX