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Alison Lundergan Grimes

KY Secretary of State

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# Commonwealth of Kentucky

## Alison Lundergan Grimes, Secretary of State

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

### Annual Report Online Filing

ARP

**Company:** STIFEL, NICOLAUS & COMPANY, INCORPORATED  
**Company ID:** 0177222  
**State of origin:** Missouri  
**Formation date:** 6/19/1967 12:00:00 AM  
**Date filed:** 6/19/2015 10:43:45 AM  
**Fee:** \$15.00

#### Principal Office

501 N. BDWY  
ST. LOUIS, MO 63102

#### Registered Agent Name/Address

C T CORPORATION SYSTEM  
306 W MAIN ST  
SUITE 512  
FRANKFORT, KY 40601

#### Current Officers

CEO	RONALD J. KRUSZEWSKI	501 N. BROADWAY, ST. LOUIS, MO 63102
CFO	STEPHEN J BUSHMANN	501 N. BROADWAY, ST. LOUIS, MO 63102
Secretary	DAVID M. MINNICK	501 N. BROADWAY, ST. LOUIS, MO 63102
Vice President	JAMES M. ZEMLYAK	501 N. BROADWAY, ST. LOUIS, MO 63102
Vice President	VICTOR J. NESI	501 N. BROADWAY, ST. LOUIS, MO 63102
Vice President	BEN A. PLOTKIN	501 N. BROADWAY, ST. LOUIS, MO 63102
Vice President	WILLIAM HEINZERLING	501 N. BROADWAY, ST. LOUIS, MO 63102
Vice President	ALLEN BRAUTIGAM	501 N. BROADWAY, ST. LOUIS, MO 63102
Vice President	CHAD ESTEP	501 N. BROADWAY, ST. LOUIS, MO 63102
Vice President	THOMAS K GOEDEKE	501 N. BROADWAY, ST. LOUIS, MO 63102

#### Directors

Director	RONALD J. KRUSZEWSKI	501 N. BROADWAY, ST. LOUIS, MO 63102
Director	JAMES M. ZEMLYAK	501 N. BROADWAY, ST. LOUIS, MO 63102
Director	J. JOSEPH SCHLAFELY, III	501 N. BROADWAY, ST. LOUIS, MO 63102
Director	MICHAEL F. IMHOFF	501 N. BROADWAY, ST. LOUIS, MO 63102
Director	THOMAS R. KENDRICK	501 N. BROADWAY, ST. LOUIS, MO 63102
Director	DAVID D. SLINEY	501 N. BROADWAY, ST. LOUIS, MO 63102
Director	RICHARD J. HIMELFARB	501 N. BROADWAY, ST. LOUIS, MO 63102
Director	THOMAS P. MULROY	501 N. BROADWAY, ST. LOUIS, MO 63102
Director	HUGO J. WARNS, III	501 N. BROADWAY, ST. LOUIS, MO 63102

#### Signatures

<b>Signature</b>	THOMAS K GOEDEKE
<b>Title</b>	VICE PRESIDENT