

Commonwealth of Kentucky  
Alison Lundergan Grimes, Secretary of State

PARP  
0177222  
Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
6/12/2018 10:51:11 AM  
Fee receipt: \$15.00

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

Annual Report  
Online Filing

ARP

**Company:** STIFEL, NICOLAUS & COMPANY, INCORPORATED  
**Company ID:** 0177222  
**State of origin:** Missouri  
**Formation date:** 6/19/1967 12:00:00 AM  
**Date filed:** 6/12/2018 10:51:11 AM  
**Fee:** \$15.00

**Principal Office**

501 N. BDWY  
ST. LOUIS, MO 63102

**Registered Agent Name/Address**

C T CORPORATION SYSTEM  
306 W MAIN ST  
SUITE 512  
FRANKFORT, KY 40601

**Current Officers**

|                |                      |                                      |
|----------------|----------------------|--------------------------------------|
| Authorized Rep | Michelle Calvert     | 501 N. Broadway St. Louis, MO 63102  |
| CEO            | RONALD J. KRUSZEWSKI | 501 N. BROADWAY, ST. LOUIS, MO 63102 |
| Secretary      | Mark P. Fisher       | 501 N. Broadway St. Louis, MO 63102  |
| Treasurer      | Frederick Schrick    | 501 N. Broadway St. Louis, MO 63102  |
| Vice President | JAMES M. ZEMLYAK     | 501 N. BROADWAY, ST. LOUIS, MO 63102 |
| Vice President | VICTOR J. NESI       | 501 N. BROADWAY, ST. LOUIS, MO 63102 |

**Directors**

|          |                      |                                      |
|----------|----------------------|--------------------------------------|
| Director | RONALD J. KRUSZEWSKI | 501 N. BROADWAY, ST. LOUIS, MO 63102 |
| Director | JAMES M. ZEMLYAK     | 501 N. BROADWAY, ST. LOUIS, MO 63102 |
| Director | Victor J. Nesi       | 501 N. Broadway St. Louis, MO 63102  |

Business type: Security & Commodity Brokers, Dealers, Exchanges & Services

**Signatures**

|           |                |
|-----------|----------------|
| Signature | Craig Sherwood |
| Title     | Tax Director   |