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Alison Lundergan Grimes

KY Secretary of State

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# Commonwealth of Kentucky

## Alison Lundergan Grimes, Secretary of State

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

### Annual Report Online Filing

ARP

**Company:** STIFEL, NICOLAUS & COMPANY, INCORPORATED  
**Company ID:** 0177222  
**State of origin:** Missouri  
**Formation date:** 6/19/1967 12:00:00 AM  
**Date filed:** 6/27/2019 4:21:09 PM  
**Fee:** \$15.00

**Principal Office**

501 N. BDWY.  
ST. LOUIS, MO 63102

**Registered Agent Name/Address**

C T CORPORATION SYSTEM  
306 W MAIN ST  
SUITE 512  
FRANKFORT, KY 40601

**Current Officers**

Authorized Rep	Michelle Calvert	501 N. Broadway St. Louis, MO 63102
CEO	RONALD J. KRUSZEWSKI	501 N. BROADWAY, ST. LOUIS, MO 63102
Secretary	Mark P. Fisher	501 N. Broadway St. Louis, MO 63102
Treasurer	Frederick Schrick	501 N. Broadway St. Louis, MO 63102
Vice President	JAMES M. ZEMLYAK	501 N. BROADWAY, ST. LOUIS, MO 63102
Vice President	VICTOR J. NESI	501 N. BROADWAY, ST. LOUIS, MO 63102

**Directors**

Director	RONALD J. KRUSZEWSKI	501 N. BROADWAY, ST. LOUIS, MO 63102
Director	JAMES M. ZEMLYAK	501 N. BROADWAY, ST. LOUIS, MO 63102
Director	Victor J. Nesi	501 N. Broadway St. Louis, MO 63102

Business size:	Large
Business type:	Security & Commodity Brokers, Dealers, Exchanges & Services

**Signatures**

<b>Signature</b>	CRAIG SHERWOOD
<b>Title</b>	Tax Director