

Commonwealth of Kentucky  
Alison Lundergan Grimes, Secretary of State

PARP  
0212923  
Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
6/19/2015 11:02:08 AM  
Fee receipt: \$15.00

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

Annual Report  
Online Filing

ARP

**Company:** CATERPILLAR INC.  
**Company ID:** 0212923  
**State of origin:** Delaware  
**Formation date:** 5/7/1986 12:00:00 AM  
**Date filed:** 6/19/2015 11:02:08 AM  
**Fee:** \$15.00

**Principal Office**

100 NE ADAMS ST

PEORIA, IL 61629

**Registered Agent Name/Address**

C T CORPORATION SYSTEM  
306 W MAIN ST  
SUITE 512  
FRANKFORT, KY 40601

**Current Officers**

Assistant Treasurer	Sally A Stiles	100 NE Adams Street, Peoria, IL 61629
CEO	Douglas R Oberhelman	100 NE Adams Street, Peoria, IL 61629
President	Bradley M Halverson	100 NE Adams Street, Peoria, IL 61629
President	Robert B. Charter	100 NE Adams Street, Peoria, IL 61629
President	Thomas Pellette	100 NE Adams Street, Peoria, IL 61629
Assistant Secretary	Joni J Funk	100 NE Adams Street, Peoria, IL 61629
Secretary	Christopher M Reitz	100 NE Adams Street, Peoria, IL 61629
Treasurer	Edward J Scott	100 NE Adams Street, Peoria, IL 61629
Vice President	James B Buda	100 NE Adams Street, Peoria, IL 61629
President	Edward J. Rapp	100 NE Adams Street, Peoria, IL 61629
President	Donald J. Umpleby, III	100 NE Adams Street, Peoria, IL 61629
Vice President	David P. Bozeman	100 NE Adams Street, Peoria, IL 61629
Vice President	Kent M. Adams	100 NE Adams Street, Peoria, IL 61629
Vice President	William P. Ainsworth	100 NE Adams Street, Peoria, IL 61629
Assistant Secretary	Patrick G. Holcombe	100 NE Adams Street, Peoria, IL 61629
Vice President	Mary H. Bell	100 NE Adams Street, Peoria, IL 61629
Vice President	Thomas J. Bluth	100 NE Adams Street, Peoria, IL 61629
Vice President	Qi Hua Chen	100 NE Adams Street, Peoria, IL 61629
Vice President	Frank J. Crespo	100 NE Adams Street, Peoria, IL 61629
Vice President	Christopher C. Curfman	100 NE Adams Street, Peoria, IL 61629
Vice President	Bob De Lang	100 NE Adams Street, Peoria, IL 61629
Vice President	Michael DeWalt	100 NE Adams Street, Peoria, IL 61629
Vice President	Paolo Fellin	100 NE Adams Street, Peoria, IL 61629
Vice President	William E. Finerty	100 NE Adams Street, Peoria, IL 61629
Vice President	Gregory S. Folley	100 NE Adams Street, Peoria, IL 61629
Vice President	Thomas G. Frake	100 NE Adams Street, Peoria, IL 61629
Vice President	Kimberly S. Hauer	100 NE Adams Street, Peoria, IL 61629
Vice President	Gwenne A. Henricks	100 NE Adams Street, Peoria, IL 61629

**Commonwealth of Kentucky**  
**Alison Lundergan Grimes, Secretary of State**

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

**Annual Report  
Online Filing**

**ARP**

Vice President	Douglas O. Hoerr	100 NE Adams Street, Peoria, IL 61629
Vice President	Denise C. Johnson	100 NE Adams Street, Peoria, IL 61629
Vice President	Kathryn D. Karol	100 NE Adams Street, Peoria, IL 61629
Vice President	Philip G. Kelliher	100 NE Adams Street, Peoria, IL 61629
Vice President	Pablo M. Koziner	100 NE Adams Street, Peoria, IL 61629
Vice President	Julie A. Lagacy	100 NE Adams Street, Peoria, IL 61629
Vice President	Nigel A. Lewis	100 NE Adams Street, Peoria, IL 61629
Vice President	Steven W. Niehaus	100 NE Adams Street, Peoria, IL 61629
Vice President	Evelyn J. Savage	100 NE Adams Street, Peoria, IL 61629
Vice President	Mark E. Sweeney	100 NE Adams Street, Peoria, IL 61629
Vice President	George H. Taylor	100 NE Adams Street, Peoria, IL 61629
Vice President	Tana L. Utley	100 NE Adams Street, Peoria, IL 61629
Vice President	Karl E. Weiss	100 NE Adams Street, Peoria, IL 61629
Vice President	Ramin Younessi	100 NE Adams Street, Peoria, IL 61629

**Directors**

Director	David L Calhoun	Caterpillar Inc., c/o Corporate Secretary, 100 NE Adams Street, Peoria, IL 61629
Director	Juan T Gallardo	Caterpillar Inc., c/o Corporate Secretary, 100 NE Adams Street, Peoria, IL 61629
Director	Peter A Magowan	Caterpillar Inc., c/o Corporate Secretary, 100 NE Adams Street, Peoria, IL 61629
Director	William A Osborn	Caterpillar Inc., c/o Corporate Secretary, 100 NE Adams Street, Peoria, IL 61629
Director	Edward B Rust, Jr.	Caterpillar Inc., c/o Corporate Secretary, 100 NE Adams Street, Peoria, IL 61629
Director	Daniel M Dickinson	Caterpillar Inc., c/o Corporate Secretary, 100 NE Adams Street, Peoria, IL 61629
Director	Susan C. Schwab	Caterpillar Inc., c/o Corporate Secretary, 100 NE Adams Street, Peoria, IL 61629
Director	Jesse J. Greene Jr.	Caterpillar Inc., c/o Corporate Secretary, 100 NE Adams Street, Peoria, IL 61629
Director	Douglas R. Oberhelman	Caterpillar Inc., c/o Corporate Secretary, 100 NE Adams Street, Peoria, IL 61629
Director	Miles D. White	Caterpillar Inc., c/o Corporate Secretary, 100 NE Adams Street, Peoria, IL 61629
Director	Jon M. Huntsman, Jr.	Caterpillar Inc., c/o Corporate Secretary, 100 NE Adams Street, Peoria, IL 61629
Director	Dennis A. Muilenburg	Caterpillar Inc., c/o Corporate Secretary, 100 NE Adams Street, Peoria, IL 61629

**Signatures**

<b>Signature</b>	Jodie M. Catton
<b>Title</b>	Authorized Agent