

Commonwealth of Kentucky
Alison Lundergan Grimes, Secretary of State

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0212923
Alison Lundergan Grimes
KY Secretary of State
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Alison Lundergan Grimes
Secretary of State
P. O. Box 1150
Frankfort, KY 40602-1150
(502) 564-3490
<http://www.sos.ky.gov>

**Annual Report
Online Filing**

ARP

Company: CATERPILLAR INC.
Company ID: 0212923
State of origin: Delaware
Formation date: 5/7/1986 12:00:00 AM
Date filed: 6/22/2017 11:28:35 AM
Fee: \$15.00

Principal Office

100 NE ADAMS ST
PEORIA, IL 61629

Registered Agent Name/Address

C T CORPORATION SYSTEM
306 W MAIN ST
SUITE 512
FRANKFORT, KY 40601

Current Officers

Assistant Treasurer	Sally A Stiles	100 NE Adams Street, Peoria, IL 61629
CEO	D. James Umpleby III	100 NE Adams Street, Peoria, IL 61629
President	Bradley M Halverson	100 NE Adams Street, Peoria, IL 61629
President	Robert B. Charter	100 NE Adams Street, Peoria, IL 61629
President	Thomas Pellette	100 NE Adams Street, Peoria, IL 61629
President	Denise C. Johnson	100 NE Adams Street, Peoria, IL 61629
Assistant Secretary	Joni J Funk	100 NE Adams Street, Peoria, IL 61629
Secretary	Suzette M Long	100 NE Adams Street, Peoria, IL 61629
Treasurer	Richard D Moore	100 NE Adams Street, Peoria, IL 61629
Vice President	David T. Walton	100 NE Adams Street, Peoria, IL 61629
Vice President	William P. Ainsworth	100 NE Adams Street, Peoria, IL 61629
Assistant Secretary	Chad J. Wiener	100 NE Adams Street, Peoria, IL 61629
Vice President	Wai Man Chan	100 NE Adams Street, Peoria, IL 61629
President	Bob De Lange	100 NE Adams Street, Peoria, IL 61629
Vice President	Thomas J. Bluth	100 NE Adams Street, Peoria, IL 61629
Vice President	Qi Hua Chen	100 NE Adams Street, Peoria, IL 61629
Vice President	Frank J. Crespo	100 NE Adams Street, Peoria, IL 61629
Vice President	Kenneth J. Hoefling	100 NE Adams Street, Peoria, IL 61629
Vice President	Joseph E. Creed	100 NE Adams Street, Peoria, IL 61629
Vice President	Paolo Fellin	100 NE Adams Street, Peoria, IL 61629
Vice President	Gregory S. Folley	100 NE Adams Street, Peoria, IL 61629
Vice President	Thomas G. Frake	100 NE Adams Street, Peoria, IL 61629
Vice President	Zachary A. Kauk	100 NE Adams Street, Peoria, IL 61629
Vice President	Douglas O. Hoerr	100 NE Adams Street, Peoria, IL 61629
Vice President	Kathryn D. Karol	100 NE Adams Street, Peoria, IL 61629
Vice President	Philip G. Kelliher	100 NE Adams Street, Peoria, IL 61629
Vice President	Pablo M. Koziner	100 NE Adams Street, Peoria, IL 61629
Vice President	Julie A. Lagacy	100 NE Adams Street, Peoria, IL 61629
Vice President	Nigel A. Lewis	100 NE Adams Street, Peoria, IL 61629

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Vice President	Evelyn J. Savage	100 NE Adams Street, Peoria, IL 61629
Vice President	George H. Taylor	100 NE Adams Street, Peoria, IL 61629
Vice President	Tana L. Utley	100 NE Adams Street, Peoria, IL 61629
Vice President	Karl E. Weiss	100 NE Adams Street, Peoria, IL 61629
Vice President	Ramin Younessi	100 NE Adams Street, Peoria, IL 61629

Directors

Director	David L Calhoun	Caterpillar Inc., c/o Corporate Secretary, 100 NE Adams Street, Peoria, IL 61629
Director	Juan T Gallardo	Caterpillar Inc., c/o Corporate Secretary, 100 NE Adams Street, Peoria, IL 61629
Director	Debra Reed	Caterpillar Inc., c/o Corporate Secretary, 100 NE Adams Street, Peoria, IL 61629
Director	William A Osborn	Caterpillar Inc., c/o Corporate Secretary, 100 NE Adams Street, Peoria, IL 61629
Director	Edward B Rust, Jr.	Caterpillar Inc., c/o Corporate Secretary, 100 NE Adams Street, Peoria, IL 61629
Director	Daniel M Dickinson	Caterpillar Inc., c/o Corporate Secretary, 100 NE Adams Street, Peoria, IL 61629
Director	Susan C. Schwab	Caterpillar Inc., c/o Corporate Secretary, 100 NE Adams Street, Peoria, IL 61629
Director	Jesse J. Greene Jr.	Caterpillar Inc., c/o Corporate Secretary, 100 NE Adams Street, Peoria, IL 61629
Director	D. James Umpleby III	Caterpillar Inc., c/o Corporate Secretary, 100 NE Adams Street, Peoria, IL 61629
Director	Miles D. White	Caterpillar Inc., c/o Corporate Secretary, 100 NE Adams Street, Peoria, IL 61629
Director	Jon M. Huntsman, Jr.	Caterpillar Inc., c/o Corporate Secretary, 100 NE Adams Street, Peoria, IL 61629
Director	Dennis A. Muilenburg	Caterpillar Inc., c/o Corporate Secretary, 100 NE Adams Street, Peoria, IL 61629
Director	Rayford Wilkins, Jr.	Caterpillar Inc., c/o Corporate Secretary, 100 NE Adams Street, Peoria, IL 61629

Signatures

Signature	Jodie Catton
Title	Authorized Agent