

Commonwealth of Kentucky
Michael G. Adams, Secretary of State

PARP

0212923

Michael G. Adams
KY Secretary of State

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Michael G. Adams
Secretary of State
P. O. Box 1150
Frankfort, KY 40602-1150
(502) 564-3490
<http://www.sos.ky.gov>

**Annual Report
Online Filing**

ARP

Company: CATERPILLAR INC.
Company ID: 0212923
State of origin: Delaware
Formation date: 5/7/1986 12:00:00 AM
Date filed: 5/13/2020 3:13:39 PM
Fee: \$15.00

Principal Office

510 LAKE COOK ROAD
SUITE 100
DEERFIELD, IL 60015

Registered Agent Name/Address

C T CORPORATION SYSTEM
306 W MAIN ST
SUITE 512
FRANKFORT, KY 40601

Current Officers

Assistant Treasurer	Chad Withers	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
CEO	D. James Umpleby III	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
CFO	Andrew Bonfield	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
President	Denise C. Johnson	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
President	Bob De Lange	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
President	William P. Ainsworth	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Assistant Secretary	Joni J Funk	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Secretary	Suzette M Long	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
President	Ramin Younessi	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Assistant Secretary	Desmond Eppel	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Assistant Secretary	Barbara Thomas	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Assistant Secretary	Jennifer Schott	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Treasurer	Richard D Moore	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Vice President	David T. Walton	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Vice President	Wai Man Chan	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Vice President	Qi Hua Chen	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Vice President	Joseph E. Creed	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Vice President	Thomas G. Frake	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Vice President	Kathryn D. Karol	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Vice President	Philip G. Kelliher	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Vice President	Julie A. Lagacy	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Vice President	Nigel A. Lewis	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Vice President	Tana L. Utley	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Vice President	Karl E. Weiss	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Vice President	Anthony D. Fassino	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Vice President	Damien Giraud	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Vice President	Frederic Istas	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Vice President	Christopher R Snodgrass	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015

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Vice President	Jason Conklin	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Vice President	Kyle Epley	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Vice President	Steven Ferguson	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Vice President	Martin Haycraft	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Vice President	Robert Hoenes	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Vice President	Ogi Redzic	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Vice President	Pamela Heminger	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015

Directors

Director	David L Calhoun	Caterpillar Inc., c/o Corporate Secretary, 510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Director	Juan T Gallardo	Caterpillar Inc., c/o Corporate Secretary, 510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Director	William A Osborn	Caterpillar Inc., c/o Corporate Secretary, 510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Director	Edward B Rust, Jr.	Caterpillar Inc., c/o Corporate Secretary, 510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Director	Daniel M Dickinson	Caterpillar Inc., c/o Corporate Secretary, 510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Director	Susan C. Schwab	Caterpillar Inc., c/o Corporate Secretary, 510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Director	D. James Umpleby III	Caterpillar Inc., c/o Corporate Secretary, 510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Director	Miles D. White	Caterpillar Inc., c/o Corporate Secretary, 510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Director	Rayford Wilkins, Jr.	Caterpillar Inc., c/o Corporate Secretary, 510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Director	Kelly Ayotte	Caterpillar Inc., c/o Corporate Secretary, 510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Director	Debra Reed-Klages	Caterpillar Inc., c/o Corporate Secretary, 510 Lake Cook Road, Ste. 100, Deerfield, IL 60015

Signatures

Signature	Stephanie Underwood
Title	Authorized Representative