

Commonwealth of Kentucky
Michael G. Adams, Secretary of State

PARP

0212923

Michael G. Adams
KY Secretary of State

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Michael G. Adams
Secretary of State
P. O. Box 1150
Frankfort, KY 40602-1150
(502) 564-3490
<http://www.sos.ky.gov>

**Annual Report
Online Filing**

ARP

Company: CATERPILLAR INC.
Company ID: 0212923
State of origin: Delaware
Formation date: 5/7/1986 12:00:00 AM
Date filed: 6/25/2021 5:40:31 PM
Fee: \$15.00

Principal Office

510 LAKE COOK ROAD
SUITE 100
DEERFIELD, IL 60015

Registered Agent Name/Address

CORPORATION SERVICE COMPANY
421 WEST MAIN STREET
FRANKFORT, KY 40601

Current Officers

Assistant Treasurer	Chad J. Withers	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
CEO	Donald J. Umpleby III	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
CFO	Andrew Bonfield	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
President	Denise C. Johnson	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
President	Bob De Lange	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
President	Anthony D. Fassino	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Secretary	Nicole Puza	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
President	Joseph E. Creed	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Assistant Secretary	Barbara Thomas	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Assistant Secretary	Jennifer K. Schott	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Treasurer	Richard D Moore	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Vice President	David T. Walton	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Vice President	Wai Man Chan	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Vice President	Qi Hua Chen	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Vice President	Thomas G. Frake	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Vice President	Kathryn D. Karol	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Vice President	Philip G. Kelliher	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Vice President	Julie A. Lagacy	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Vice President	Tana L. Utley	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Vice President	Damien Giraud	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Vice President	Frederic Istas	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Vice President	Christopher R Snodgrass	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Vice President	Jason L. Conklin	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Vice President	Kyle J. Epley	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Vice President	Steven M. Ferguson	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Vice President	Martin Haycraft	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Vice President	Robert L. Hoenes	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Vice President	Ognjen Redzic	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Vice President	Pamela A. Heminger	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015

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Vice President Karl E. Weiss 510 Lake Cook Road, Ste. 100, Deerfield, IL 60015

Directors

Director	David L Calhoun	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Director	Edward B Rust, Jr.	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Director	Daniel M Dickinson	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Director	Susan C. Schwab	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Director	Donald J. Umpleby III	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Director	Miles D. White	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Director	Rayford Wilkins, Jr.	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Director	Kelly A. Ayotte	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Director	Debra Reed-Klages	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Director	Gerald Johnson	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015
Director	David W. MacLennan	510 Lake Cook Road, Ste. 100, Deerfield, IL 60015

Signatures

Signature	Nicole Puza
Title	Secretary