

**Commonwealth of Kentucky**  
**Michael G. Adams, Secretary of State**

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Michael G. Adams  
KY Secretary of State  
Received and Filed

4/17/2023 12:00:00 AM

Fee receipt: \$480.00

Michael G. Adams  
Secretary of State  
P. O. Box 718  
Frankfort, KY 40602-0718  
(502) 564-3490  
<http://www.sos.ky.gov>

**Certificate of Authority**

**RCA**

Pursuant to the provisions of KRS 14A.9 - 030 the undersigned hereby applies for authority to transact business in Kentucky on behalf of the entity named below and, for that purpose, submits the following statements.

1. The business entity is a profit corporation.
2. The name of the entity is: RCS CAPITAL PARTNERS, INC.
3. The name of the entity to be used in Kentucky is (if applicable):
4. It is an entity organized and existing under the laws of the state of New York.
5. The date of organization is See Original Certificate of Authority. and the period of duration is perpetual

**Principal Office**

270 NORTHPOINTE PARKWAY  
SUITE 40  
AMHERST, NY 14228

**Registered Agent Name/Address**

Registered Agents Inc  
212 N. 2nd Street, Suite 100  
Richmond, KY 4475

**Current Officers**

CFO	Eli Hazzan	270 Northpointe Parkway, Suite 40, Amherst, NY 14228
President	Eli Hazzan	270 Northpointe Parkway, Suite 40, Amherst, NY 14228
Secretary	Eli Hazzan	270 Northpointe Parkway, Suite 40, Amherst, NY 14228
Treasurer	Eli Hazzan	270 Northpointe Parkway, Suite 40, Amherst, NY 14228

6. As the Authorized Representative, I declare under penalty of perjury under the laws of the state of Kentucky that the foregoing is true and correct. Eli Hazzan on 4/17/2023

7. As the Registered Agent, I declare under penalty of perjury under the laws of the state of Kentucky that the foregoing is true and correct. Registered Agents Inc on 4/17/2023