

0442924

Michael G. Adams
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Commonwealth of Kentucky

Michael G. Adams, Secretary of State

Michael G. Adams
Secretary of State
P. O. Box 1150
Frankfort, KY 40602-1150
(502) 564-3490
<http://www.sos.ky.gov>

Annual Report Online Filing For the Year 2024

ARP

Company: FINRA REGULATION, INC.
Company ID: 0442924
State of origin: Delaware
Formation date: 12/16/1997 12:00:00 AM
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Principal Office

1700 K. STREET NW
WASHINGTON, DC 20006

Registered Agent Name/Address

Corporation Service Company
421 WEST MAIN STREET
FRANKFORT, KY 40601

Current Officers

President	ROBERT W. COOK	1700 K STREET, NW WASHINGTON, DC 20006
Secretary	MARCIA E. ASQUITH	1700 K STREET, NW WASHINGTON, DC 20006
Treasurer	TODD T. DIGANCI	1700 K STREET, NW WASHINGTON, DC 20006

Directors

Director	PAIGE W PIERCE	8955 S TAFT HILL DR STE 100, SANDY, UT 84093
Director	ROBERT W. COOK	1700 K STREET, NW WASHINGTON, DC 20006
Director	WENDY LANTON	35 PINELAWN ROAD SUITE 101E MELVILLE NY 11747
Director	TIMOTHY C. SCHEVE	1717 ARCH ST. FL 22 PHILADELPHIA, PA 19103
Director	LANCE F DRUMMOND	14263 NOLEN LN CHARLOTTE NC 28277
Director	JOHN (JACK) EHNES	5112 RUNWAY DRIVE, FAIR OAKS, CA 95628
Director	JAMES D NAGENGAST	12325 PORT GRACE BLVD, LA VISTA, NE 68128
Director	CAMILLE M BUSSETTE	1775 MASSACHUSETTS AVENUE NW WASHINGTON DC 20036
Director	ETHIOPIAS TAFARA	2121 PENNSYLVANIA AVENUE NW WASHINGTON DC 20433
Director	LINDE MURPHY	950 EAST BASSE ROAD SECOND FLOOR SAN ANTONIO TX 78209
Director	ERIC NOLL	401 E. CITY AVENUE#815 BALA CYNWYD PA 19004
Director	MAUREEN JENSEN	ONTARIO SECURITIES COMMISSION, 117 CAMERON STREET THORNBURY ON N0H 2P0
Director	DEBORAH BAILEY	1200 CALIFORNIA STREET APT 19C SAN FRANCISCO CA 94109
Director	FABIOLA ARREDONDO	115 Mason St., Greenwich, CT 06830
Director	JAMES T. CROWLEY	One Pershing Plaza, Jersey City, NJ 07399
Director	MOIRA KILCOYNE	83 Ryerson Road, Warwick, NY 10990
Director	MORTIMER J. BUCKLEY	100 Vanguard Blvd, Malvern, PA 19355
Director	PEGGY HO	9 Donizetti Street, Wellesley, MA 02482
Director	PENNY PENNINGTON	12555 Manchester Rd, St Louis, MO 63131

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Director	SAMIR DESHPANDE	500 W Main Street, Louisville, KY 40202
Director	Naureen Hassan	1700 K Street NW Washington, DC 20006
Director	Derrick A Roman	1700 K Street NW Washington, DC 20006
Director	Gus Sauter	1700 K Street NW Washington, DC 20006
Director	Scott Curtis	Raymond James Financial Services, Inc., 880 Carillon Parkway, St. Petersburg, FL 33716
Director	Lisa Fairfax	University of Pennsylvania, 3501 Sanson Street, Philadelphia, PA 19104

County:	Franklin
Business size:	Small
Business type:	Membership Organizations

Signatures

**Signature
Title**

Marcia E. Asquith
Secretary

