

**Commonwealth of Kentucky
Michael G. Adams, Secretary of State**

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Michael G. Adams
KY Secretary of State
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Michael G. Adams
Secretary of State
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**Statement of Change of
Principal Office Address**

POC

Pursuant to the provisions of KRS 14A.5-010, the undersigned hereby applies to change the principal office on behalf of

FINRA REGULATION, INC.

and for that purpose submits the following statements:

1. Address of current principal office

1735 K. STREET NW
WASHINGTON, DC 20006

2. Principal office is hereby changed to:

1700 K. STREET NW
WASHINGTON, DC 20006

3. Authorized Signature of Entity

Marcia E. Asquith, Secretary

Signature and Title

Marcia E. Asquith, Secretary

Type or print name and title

5/20/2024

Date