

**Commonwealth of Kentucky**  
**Michael G. Adams, Secretary of State**

PARP

**0706124**  
**Michael G. Adams**  
**KY Secretary of State**  
Received and Filed  
**6/9/2021 8:22:08 AM**  
Fee receipt: **\$15.00**

Michael G. Adams  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

**Annual Report  
Online Filing**

**ARP**

**Company:** RAYMOND JAMES & ASSOCIATES, INC.  
**Company ID:** 0706124  
**State of origin:** Florida  
**Formation date:** 5/27/2008 12:00:00 AM  
**Date filed:** 6/9/2021 8:22:08 AM  
**Fee:** \$15.00

**Principal Office**

880 CARILLON PARKWAY  
ST. PETERSBURG, FL 33716

**Registered Agent Name/Address**

C T CORPORATION SYSTEM  
306 W MAIN ST  
SUITE 512  
FRANKFORT, KY 40601

**Current Officers**

|           |                      |                                                   |
|-----------|----------------------|---------------------------------------------------|
| CEO       | Tashtego S. Elwyn    | 880 Carillon Parkway St. Petersburg Florida 33716 |
| President | Tashtego S. Elwyn    | 880 Carillon Parkway St. Petersburg Florida 33716 |
| Secretary | Jonathan N. Santelli | 880 Carillon Parkway St. Petersburg Florida 33716 |
| Treasurer | Paul M. Shoukry      | 880 Carillon Parkway St. Petersburg Florida 33716 |

**Directors**

|          |                    |                                                   |
|----------|--------------------|---------------------------------------------------|
| Director | James E. Bunn      | 880 Carillon Parkway St. Petersburg Florida 33716 |
| Director | Scott A. Curtis    | 880 Carillon Parkway St. Petersburg Florida 33716 |
| Director | Tashtego S. Elwyn  | 880 Carillon Parkway St. Petersburg Florida 33716 |
| Director | Erik Fruland       | 880 Carillon Parkway St. Petersburg Florida 33716 |
| Director | Tarek M. Helal     | 880 Carillon Parkway St. Petersburg Florida 33716 |
| Director | Jeffrey P. Julien  | 880 Carillon Parkway St. Petersburg Florida 33716 |
| Director | Paul M. Shoukry    | 880 Carillon Parkway St. Petersburg Florida 33716 |
| Director | James P. Sickling  | 880 Carillon Parkway St. Petersburg Florida 33716 |
| Director | Thomas R. Tremaine | 880 Carillon Parkway St. Petersburg Florida 33716 |

**Signatures**

|                  |                   |
|------------------|-------------------|
| <b>Signature</b> | Mandy Hendricks   |
| <b>Title</b>     | Power of Attorney |