

**Commonwealth of Kentucky**  
**Alison Lundergan Grimes, Secretary of State**

PARP  
**0790924**  
Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
5/2/2013 2:06:31 PM  
Fee receipt: \$15.00

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

**Annual Report  
Online Filing**

**ARP**

**Company:** ARIA RETIREMENT SOLUTIONS, INC.  
**Company ID:** 0790924  
**State of origin:** Delaware  
**Formation date:** 5/4/2011 12:00:00 AM  
**Date filed:** 5/2/2013 2:06:31 PM  
**Fee:** \$15.00

**Principal Office**

101 MONTGOMERY STREET  
27TH FLOOR  
SAN FRANCISCO, CA 94104

**Registered Agent Name/Address**

National Registered Agents, Inc.  
306 W. MAIN STREET  
SUITE 512  
FRANKFORT, KY 40601

**Current Officers**

CEO	DAVID STONE	101 MONTGOMERY STREET, 27TH FLOOR, SAN FRANCISCO, CA 94104
CFO	KAREN CHANG	101 MONTGOMERY STREET, 27TH FLOOR, SAN FRANCISCO, CA 94104
President	DAVID STONE	101 MONTGOMERY STREET, 27TH FLOOR, SAN FRANCISCO, CA 94104
Secretary	DAVID STONE	101 MONTGOMERY STREET, 27TH FLOOR, SAN FRANCISCO, CA 94104

**Directors**

Director	TIMOTHY PFEIFER	101 MONTGOMERY STREET, 27TH FLOOR, SAN FRANCISCO, CA 94104
Director	ALAN SPOON	101 MONTGOMERY STREET, 27TH FLOOR, SAN FRANCISCO, CA 94104
Director	DAVID HOPEWELL	101 MONTGOMERY STREET, 27TH FLOOR, SAN FRANCISCO, CA 94104
Director	DAVID STONE	101 MONTGOMERY STREET, 27TH FLOOR, SAN FRANCISCO, CA 94104

**Signatures**

<b>Signature</b>	KAREN CHANG
<b>Title</b>	CFO