

Commonwealth of Kentucky  
Alison Lundergan Grimes, Secretary of State

PARP

0790924

Alison Lundergan Grimes

KY Secretary of State

Received and Filed

4/24/2019 12:02:38 PM

Fee receipt: \$15.00

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

Annual Report  
Online Filing

ARP

**Company:** ARIA RETIREMENT SOLUTIONS, INC.  
**Company ID:** 0790924  
**State of origin:** Delaware  
**Formation date:** 5/4/2011 12:00:00 AM  
**Date filed:** 4/24/2019 12:02:38 PM  
**Fee:** \$15.00

**Principal Office**

505 MONTGOMERY STREET, 11TH FLOOR  
SAN FRANCISCO, CA 94111

**Registered Agent Name/Address**

National Registered Agents, Inc.  
306 W. MAIN STREET  
SUITE 512  
FRANKFORT, KY 40601

**Current Officers**

CEO	DAVID STONE	505 MONTGOMERY STREET, 11TH FLOOR, SAN FRANCISCO, CA 94111
CFO	KAREN CHANG	505 MONTGOMERY STREET, 11TH FLOOR, SAN FRANCISCO, CA 94111
President	EDWARD J. MERCIER	505 MONTGOMERY STREET, 11TH FLOOR, SAN FRANCISCO, CA 94111
Secretary	DAVID STONE	505 MONTGOMERY STREET, 11TH FLOOR, SAN FRANCISCO, CA 94111
Treasurer	KAREN CHANG	505 MONTGOMERY STREET, 11TH FLOOR, SAN FRANCISCO, CA 94111

**Directors**

Director	THOMAS STREIFF	505 MONTGOMERY STREET, 11TH FLOOR, SAN FRANCISCO, CA 94111
Director	ALAN SPOON	505 MONTGOMERY STREET, 11TH FLOOR, SAN FRANCISCO, CA 94111
Director	KEVIN OSBORN	505 MONTGOMERY STREET, 11TH FLOOR, SAN FRANCISCO, CA 94111
Director	DAVID STONE	505 MONTGOMERY STREET, 11TH FLOOR, SAN FRANCISCO, CA 94111
Director	RUSSELL PARKER	505 MONTGOMERY STREET, 11TH FLOOR, SAN FRANCISCO, CA 94111

**Signatures**

<b>Signature</b>	KAREN CHANG
<b>Title</b>	CFO