



**COMMONWEALTH OF KENTUCKY**  
**MICHAEL G. ADAMS, SECRETARY OF STATE**

**1243627.06**

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ADD

**Michael G. Adams**  
**Kentucky Secretary of State**  
 Received and Filed:  
 11/22/2022 3:28 PM  
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Division of Business Filings  
 P.O. Box 718  
 Frankfort, KY 40602  
 (502) 564-3490  
[www.sos.ky.gov](http://www.sos.ky.gov)

**Certificate of Authority**  
 (Foreign Business Entity)

**FBE**

Pursuant to the provisions of KRS 14A - 030 the undersigned hereby applies for authority to transact business in Kentucky on behalf of the entity named below and, for that purpose, submits the following statements:

- The entity is a:
 

<input type="checkbox"/> profit corporation	<input type="checkbox"/> nonprofit corporation	<input type="checkbox"/> professional limited liability company
<input type="checkbox"/> business trust	<input checked="" type="checkbox"/> limited liability company	<input type="checkbox"/> statutory trust
<input type="checkbox"/> limited partnership	<input type="checkbox"/> ltd cooperative association	<input type="checkbox"/> other
<input type="checkbox"/> non-profit llc	<input type="checkbox"/> professional service corporation	
- The name of the entity is YRI Global Liquidity S.a.r.l, LLC, US Branch  
 (The name must be identical to the name on record with the Secretary of State.)
- The name of the entity to be used in Kentucky is (if applicable): YRI GLOBAL LIQUIDITY, S.A.R.L., LLC  
 (Only provide if "real name" is unavailable for use; otherwise, leave blank.)
- The state or country under whose law the entity is organized is Luxembourg
- The date of organization is 4/5/2012 and the period of duration is \_\_\_\_\_  
 (If left blank, duration is considered perpetual.)
- The mailing address of the entity's principal office is  
1900 Colonel Sanders Lane Louisville KY 40213  
 Street Address City State Zip Code
- The street address of the entity's registered office in Kentucky is  
306 W. Main Street, Suite 512, Frankfort KY 40601  
 Street Address (No P.O. Box Numbers) City State Zip Code
- and the name of the registered agent at that office is C T Corporation System
- The names and business addresses of the entity's representatives (secretary, officers and directors, managers, trustees or general partners):
 

Peter Wesh	1900 Colonel Sanders Lane	Louisville	KY	40213
Name	Street or P.O. Box	City	State	Zip Code
Angel Yang	1900 Colonel Sanders Lane	Louisville	KY	40213
Name	Street or P.O. Box	City	State	Zip Code
Diana T. Beakes	1900 Colonel Sanders Lane	Louisville	KY	40213
Name	Street or P.O. Box	City	State	Zip Code
- If a professional service corporation, all the individual shareholders, not less than one half (1/2) of the directors, and all of the officers other than the secretary and treasurer are licensed in one or more states or territories of the United States or District of Columbia to render a professional service described in the statement of purposes of the corporation.
- I certify that, as of the date of filing this application, the above-named entity validly exists under the laws of the jurisdiction of its formation.
- If a limited partnership, it elects to be a limited liability limited partnership. Check the box if applicable: ☐
- If a limited liability company, check box if manager-managed: ☒
- This application will be effective upon filing.

<u>Diana T. Beakes</u>	Diana T. Beakes, Assistant Secretary	11/17/2022
Signature of Authorized Representative	Printed Name & Title	Date

I, C T Corporation System, consent to serve as the registered agent on behalf of the business entity.

By: <u>Sherry McGinnes</u>	Sherry McGinnes	Assistant Secretary	11/18/2022
Signature of Registered Agent	Printed Name	Title	Date