PARP

Commonwealth of Kentucky Trey Grayson, Secretary of State

0456630 Trey Grayson Secretary of State Received and Filed

6/29/2009 4:08:16 PM Fee receipt: \$15.00

Trey Grayson Secretary of State P. O. Box 1150 Frankfort, KY 40602-1150 (502) 564-3490 http://www.sos.ky.gov

Annual Report Web Filing

ARP

Company: USI SECURITIES, INC.

Company ID: 0456630 State of origin: Delaware

Formation date: 5/18/1998 12:00:00 AM date filed: 6/29/2009 4:08:16 PM

Fee: \$15.00

Principal Office

95 GLASTONBURY BLVD. GLASTONBURY, CT 06033

Registered Agent Name/Address

C T CORPORATION SYSTEM 4169 WESTPORT ROAD LOUISVILLE, KY 40207

Current Officers

President Jim Kinney 95 Glastonbury Blvd, Glastonbury, CT 06033

Secretary Ernest J Newborn II 555 Pleasantville Road, Ste 160 So., Briarcliff Manor, NY 10510 Treasurer Dave Hess 555 Pleasantville Road, Ste 160 So., Briarcliff Manor, NY 10510

Directors

Director Ernest J. Newborn II 555 Pleasantville Road, Ste 160 So., Briarcliff Manor, NY 10510

Signatures

Signature Ernest J. newborn, II

Title Secretary