

**Commonwealth of Kentucky**  
**Trey Grayson, Secretary of State**

0456630

Trey Grayson  
Secretary of State  
Received and Filed

6/30/2010 7:33:44 AM

Fee receipt: \$15.00

PARP

Trey Grayson  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

**Annual Report  
Online Filing**

**ARP**

**Company:** USI SECURITIES, INC.  
**Company ID:** 0456630  
**State of origin:** Delaware  
**Formation date:** 5/18/1998 12:00:00 AM  
**Date filed:** 6/30/2010 7:33:44 AM  
**Fee:** \$15.00

**Principal Office**

95 GLASTONBURY BLVD.  
GLASTONBURY, CT 06033

**Registered Agent Name/Address**

C T CORPORATION SYSTEM  
306 W MAIN ST  
SUITE 512  
FRANKFORT, KY 40601

**Current Officers**

President	Jim Kinney	95 Glastonbury Blvd, Glastonbury, CT 06033
Secretary	Ernest J Newborn II	555 Pleasantville Road, Ste 160 So., Briarcliff Manor, NY 10510
Treasurer	Dave Hess	555 Pleasantville Road, Ste 160 So., Briarcliff Manor, NY 10510

**Directors**

Director	Ernest J. Newborn II	555 Pleasantville Road, Ste 160 So., Briarcliff Manor, NY 10510
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**Signatures**

<b>Signature</b>	ernest j. newborn
<b>Title</b>	secretary