Michael G. Adams Secretary of State P. O. Box 718 Frankfort, KY 40602-0718 (502) 564-3490 http://www.sos.ky.gov

Certificate of Authority

Pursuant to the provisions of KRS 14A.9 - 030 the undersigned hereby applies for authority to transact business in Kentucky on behalf of the entity named below and, for that purpose, submits the following statements:

- 1. The entity is a limited liability company.
- 2. The name of the entity is

ANNEXUS SECURITIES, LLC

- 3. The state or country under whose law the entity is organized is Delaware.
- 4. The date of organization is 8/5/2022 and the period of duration is perpetual.
- 5. The mailing address of the entity's principal office is

16767 N. Perimeter Dr. Ste. 320, Scottsdale, AZ 85260

6. The street address of the entity's registered office in Kentucky is

421 West Main Street, Frankfort, KY 40601

and the name of the registered agent at that office is Corporation Service Company.

| 7. The names and bu | usiness addresses of t | ne enuly s repres | entatives. | | |
|---------------------|------------------------|---------------------------------------|------------|----|-------|
| Manager | Jayne Rothman | 1445 Ross Ave 40th Fl | ., Dallas | ТХ | 75202 |
| Organizer | Jayne Rothman | 1445 Ross Ave 40th Fl | ., Dallas | ТХ | 75202 |
| Manager | Eric Denham | 16767 N. Perimeter Dr. Ste. 320 | Scottsdale | AZ | 85260 |
| Organizer | Eric Denham | 16767 N. Perimeter Dr. Ste. 320 | Scottsdale | AZ | 85260 |
| Manager | Kenneth Lepore | 16767 N. Perimeter Dr. Ste. 320 | Scottsdale | AZ | 85260 |
| Organizer | Kenneth Lepore | 16767 N. Perimeter Dr. Ste. 320 | Scottsdale | AZ | 85260 |

7. The names and business addresses of the entity's representatives:

8. This entity is managed by Managers.

9. This application will be effective on Thursday, May 9, 2024.

L902

Michael G. Adams Secretary of State Received and Filed 5/9/2024 12:00:00 AM Fee receipt: \$90

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1363630.06

As the Authorized Representative, I, **Jayne Rothman**, declare under penalty of people of the state of Kentucky that the foregoing is true and correct. Title: **Manager**

I, Ethan Scott, consent to sign for Corporation Service Company who serves a **Agent** on behalf of this limited liability company company.

L902 1363630.06 Michael G. Adams Secretary of State Received and Filed 5/9/2024 12:00:00 AM Fee receipt: \$90

