

**Commonwealth of Kentucky**  
**Alison Lundergan Grimes, Secretary of State**

PARP  
**0203431**  
Alison Lundergan Grimes  
KY Secretary of State  
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Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

**Annual Report  
Online Filing**

**ARP**

**Company:** GWFS EQUITIES, INC.  
**Company ID:** 0203431  
**State of origin:** Delaware  
**Formation date:** 8/9/1985 12:00:00 AM  
**Date filed:** 5/3/2012 2:12:54 PM  
**Fee:** \$15.00

**Principal Office**

8515 EAST ORCHARD ROAD  
GREENWOOD VILLAGE, CO 80111

**Registered Agent Name/Address**

C T CORPORATION SYSTEM  
306 W MAIN ST  
SUITE 512  
FRANKFORT, KY 40601

**Current Officers**

Chairman	Charles P Nelson	8515 EAST ORCHARD ROAD, GREENWOOD VILLAGE, CO 80111
President	Charles P Nelson	8515 EAST ORCHARD ROAD, GREENWOOD VILLAGE, CO 80111
Vice President	Gregory E Seller	18515 East Orchard Road, Greenwood Village, CO 80111
Secretary	Beverly A Byrne	8515 EAST ORCHARD ROAD, GREENWOOD VILLAGE, CO 80111

**Directors**

Director	Gregory E Seller	8515 East Orchard Road, Greenwood Village, CO 80111
Director	Robert K Shaw	8515 EAST ORCHARD ROAD, GREENWOOD VILLAGE, CO 80111
Director	Charles P Nelson	8515 EAST ORCHARD ROAD, GREENWOOD VILLAGE, CO 80111
Director	William S Harmon	8515 East Orchard Road, Greenwood Village, CO 80111
Director	Stephanie A Bendrick	8515 East Orchard Road, Greenwood Village, CO 80111

**Signatures**

<b>Signature</b>	/s/ Bev A. Byrne
<b>Title</b>	Chief Compliance Officer and Secretary