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Commonwealth of Kentucky Alison Lundergan Grimes, Secretary o

0847031 Alison Lundergan Grimes **KY Secretary of State** Received and Filed 6/29/2016 10:51:53 AM

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Alison Lundergan Grimes Secretary of State P. O. Box 1150 Frankfort, KY 40602-1150 (502) 564-3490 http://www.sos.ky.gov

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ARP

Company: CETERA INVESTMENT ADVISERS LLC

Company ID: 0847031 State of origin: Delaware

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Principal Office

401 FIRST STREET SOUTH, STE 300 ST. CLOUD, MN 56301

Registered Agent Name/Address

CT CORPORATION SYSTEM 306 W. MAIN STREET, SUITE 512 FRANKFORT, KY 40601

Members/Managers

NINA MCKENNA 200 N SEPULVEDA BLVD EL SEGUNDO, CA 90245 Manager 405 PARK AVE 12TH FL NEW YORK, NY 10022 Manager MASON ALLEN

Signatures

Signature GREG OLSON

Title ASSISTANT SECRETARY