

Commonwealth of Kentucky
Alison Lundergan Grimes, Secretary of State

LARP
0847031
Alison Lundergan Grimes
KY Secretary of State
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Alison Lundergan Grimes
Secretary of State
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**Annual Report
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ARP

Company: CETERA INVESTMENT ADVISERS LLC
Company ID: 0847031
State of origin: Delaware
Formation date: 1/11/2013 12:00:00 AM
Date filed: 6/19/2017 5:11:10 PM
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Principal Office

401 FIRST STREET SOUTH, STE 300
ST. CLOUD, MN 56301

Registered Agent Name/Address

CT CORPORATION SYSTEM
306 W. MAIN STREET, SUITE 512
FRANKFORT, KY 40601

Members/Managers

Manager	ADAM ANTONIADES	655 W BROADWAY 12TH FL SAN DEGO CA 92101
Manager	CATHERINE BONNEAU	400 1ST ST SOUTH STE 300 ST CLOUD MN
Manager	JAMES DAVID BALLARD	200 N SEPULVEDA BLVD STE 1200 EL SEGUNDO CA 90245

Business size:	Small
Business type:	Security & Commodity Brokers, Dealers, Exchanges & Services

Signatures

Signature	GREG OLSON
Title	ASSISTANT SECRETARY