LARP

Commonwealth of Kentucky Alison Lundergan Grimes, Secretary o

0847031 Alison Lundergan Grimes KY Secretary of State Received and Filed

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Alison Lundergan Grimes Secretary of State P. O. Box 1150 Frankfort, KY 40602-1150 (502) 564-3490 http://www.sos.ky.gov

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ARP

Company: CETERA INVESTMENT ADVISERS LLC

Company ID: 0847031 State of origin: Delaware

Formation date: 1/11/2013 12:00:00 AM Date filed: 6/20/2018 2:18:17 PM

Fee: \$15.00

Principal Office

401 FIRST STREET SOUTH, STE 300 ST. CLOUD, MN 56301

Registered Agent Name/Address

CT CORPORATION SYSTEM 306 W. MAIN STREET, SUITE 512 FRANKFORT, KY 40601

Members/Managers

Manager ADAM ANTONIADES 655 W BROADWAY 12TH FL SAN DEGO CA 92101 Manager CATHERINE BONNEAU 400 1ST ST SOUTH STE 300 ST CLOUD MN

Business size: Small

Business type: Holding and Other Investment Offices

Signatures

Signature GREG OLSON

Title ASSISTANT SECRETARY