Commonwealth of Kentucky Alison Lundergan Grimes, Secretary o

Alison Lundergan Grimes Secretary of State P. O. Box 1150 Frankfort, KY 40602-1150 (502) 564-3490 http://www.sos.ky.gov		Annual Report Online Filing				ARP	
Company: Company ID: State of origin: Formation date: Date filed: Fee:		CETERA INVE 0847031 Delaware 1/11/2013 12:0 6/17/2019 10:3 \$15.00		S LLC			
Principal Office 401 FIRST STREE ST. CLOUD, MN 5 Registered Agent	56301		KED S	14.40			
CORPORATION S 421 WEST MAIN FRANKFORT, KY	SERVICE COM		C		UCI		
Members/Manager Manager Manager		E BONNEAU EARY	400 1ST ST SOU 200 N PACIFIC C			JNDO CA 90245	
Business size: Business type:	S	Small Security & C	commodity Brokers,	Dealers, Excha	inges & Services		
Signatures		R		25//			
Signature Title		MARK SHELSON TREASURER					