## Commonwealth of Kentucky Michael G. Adams, Secretary of Sta

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Michael G. Adams Secretary of State P. O. Box 1150 Frankfort, KY 40602-1150 (502) 564-3490 http://www.sos.ky.gov	Annual Report Online Filing	ARP
Company: Company ID: State of origin: Formation date: Date filed: Fee:	CETERA INVESTMENT ADVISERS LLC 0847031 Delaware 1/11/2013 12:00:00 AM 6/23/2020 12:18:02 PM \$15.00	
Principal Office 401 FIRST STREET SOUTH, STE 300 ST. CLOUD, MN 56301		
Registered Agent Name/Address		
CORPORATION SERVICE COM 421 WEST MAIN STREET FRANKFORT, KY 40601		GO
Members/Managers		
Manager JOSEPH N	EARY 200 N PACIFIC COAST HWY STE	1200 EL SEGUNDO CA 90245
Signatures		× //
Signature Title	MARK SHELSON TREASURER	