

Commonwealth of Kentucky
Michael G. Adams, Secretary of State

LARP
0847031
Michael G. Adams
KY Secretary of State
Received and Filed
6/20/2021 3:42:53 PM
Fee receipt: \$15.00

Michael G. Adams
Secretary of State
P. O. Box 1150
Frankfort, KY 40602-1150
(502) 564-3490
<http://www.sos.ky.gov>

**Annual Report
Online Filing**

ARP

Company: CETERA INVESTMENT ADVISERS LLC
Company ID: 0847031
State of origin: Delaware
Formation date: 1/11/2013 12:00:00 AM
Date filed: 6/20/2021 3:42:53 PM
Fee: \$15.00

Principal Office

401 FIRST STREET SOUTH, STE 300
ST. CLOUD, MN 56301

Registered Agent Name/Address

CORPORATION SERVICE COMPANY
421 WEST MAIN STREET
FRANKFORT, KY 40601

Members/Managers

Manager	JOSEPH NEARY	200 N PACIFIC COAST HWY STE 1200 EL SEGUNDO CA 90245
Manager	THOMAS TAYLOR	200 N PACIFIC COAST HWY STE 1200 EL SEGUNDO CA 90245
Manager	THOMAS GOOLEY	200 N PACIFIC COAST HWY STE 1200 EL SEGUNDO CA 90245

Signatures

Signature	GARY CHAFFIN
Title	TAX OFFICER