LARP

Commonwealth of Kentucky Michael G. Adams, Secretary of St.

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Michael G. Adams Secretary of State P. O. Box 1150 Frankfort, KY 40602-1150 (502) 564-3490 http://www.sos.ky.gov

Annual Report Online Filing For the Year 2023

ARP

Company: CETERA INVESTMENT ADVISERS LLC

Company ID: 0847031 State of origin: Delaware

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Principal Office

1450 AMERICAN LANE

STE 650

SCHAUMBURG, IL 60173

Registered Agent Name/Address

CORPORATION SERVICE COMPANY 421 WEST MAIN STREET FRANKFORT, KY 40601

Members/Managers

Member JOSEPH D. NEARY 655 W. BROADWAY, 12TH FLOOR, SAN DIEGO, CA 92101
Member THOMAS B. TAYLOR 200 N. PACIFIC COAST HWY, STE 1300, EL SEGUNDO, CA

90245

Member THOMAS A. GOOLEY 2301 ROSECRANS AVE, STE 5100, EL SEGUNDO, CA 90245

Signatures

Signature GARY L. CHAFFIN TAX OFFICER