

**Commonwealth of Kentucky**  
**Michael G. Adams, Secretary of State**

LARP  
0847031  
Michael G. Adams  
KY Secretary of State  
Received and Filed  
6/28/2024 12:52:54 PM  
Fee receipt: \$15.00

Michael G. Adams  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

**Annual Report  
Online Filing  
For the Year 2024**

**ARP**

**Company:** CETERA INVESTMENT ADVISERS LLC  
**Company ID:** 0847031  
**State of origin:** Delaware  
**Formation date:** 1/11/2013 12:00:00 AM  
**Date filed:** 6/28/2024 12:52:21 PM  
**Fee:** \$15.00

**Principal Office**

1450 AMERICAN LANE  
STE 650  
SCHAUMBURG, IL 60173

**Registered Agent Name/Address**

CORPORATION SERVICE COMPANY  
421 WEST MAIN STREET  
FRANKFORT, KY 40601

**Members/Managers**

Member	JOSEPH D. NEARY	655 W. BROADWAY, 12TH FLOOR, SAN DIEGO, CA 92101
Member	THOMAS B. TAYLOR	200 N. PACIFIC COAST HWY, STE 1300, EL SEGUNDO, CA 90245
Member	THOMAS A. GOOLEY	1450 American Lane, Suite 650, Schaumburg, IL 60173

County:	Franklin
Business size:	Small
Business type:	Holding and other Investment Offices

**Signatures**

<b>Signature</b>	Gary L. Chaffin
<b>Title</b>	Authorized Person